**FCC 603** 

**FCC Wireless Telecommunications Bureau** Application for Assignments of Authorization and Transfers of Control

# RECEIVED

JUN 12 2001

TOWAL COMMUNICATIONS COMMISSION STRICE OF THE SECRETARY

Approved by OMB 3060 - 0800 See instructions for public burden estimate

Submitted 05/21/2001 at 04:35PM

File Number: 0000463076

AGOKET FIL	E COPY ORIGINA
1) Application Purpose: Transfer of Control	- COPY ORIGIN *
2a) If this request is for an Amendment or Withdrawal, enter the File Number of the pending application currently on file with the FCC.	File Number:
2b) File numbers of related pending applications currently on file with the FCC:	

## Type of Transaction

- 3a) Is this a pro forma assignment of authorization or transfer of control? No
- 3b) If the answer to Item 3a is 'Yes', is this a notification of a pro forma transaction being filed under the Commission's forbearance procedures for telecommunications licenses?
- 4) For assignment of authorization only, is this a partition and/or disaggregation?
- 5) Does this filing request a waiver of the Commission's rules? No
- 6) Are attachments being filed with this application? Yes
- 7a) Does the transaction that is the subject of this application also involve transfer or assignment of other wireless licenses held by the assignor/transferor or affiliates of the assignor/transferor(e.g., parents, subsidiaries, or commonly controlled entities) that are not included on this form and for which Commission approval is required? Yes
- 7b) Does the transaction that is the subject of this application also involve transfer or assignment of non-wireless licenses that are not included on this form and for which Commission approval is required? Yes

#### Transaction Information

- 8) How will assignment of authorization or transfer of control be accomplished? Sale or other assignment or transfer of stock If required by applicable rule, attach as an exhibit a statement on how control is to be assigned or transferred, along with copies of any pertinent contracts, agreements, instruments, certified copies of Court Orders, etc.
- 9) The assignment of authorization or transfer of control of license is: Voluntary

## Licensee/Assignor Information

10a) Taxpayer Identification Number: I	L00120887	10b) SGIN: 000	10c) FCC Registration Number (FRN):
11) First Name (if individual):	MI:	Last Name:	Suffix:
12) Entity Name (if not an individual):	BERSHIRE CABLE (	ORPORATION	
13) Attention To:	rayagiti — dhaqabadanin — rayy - <sub>18</sub> 4-196	A CONTRACTOR OF THE PROPERTY O	
14) P.O. Box:	And / Or	15) Street Addres	s: 19 BROAD STREET
16) City: KINDERHOOK	AND THE RESERVE OF THE PROPERTY OF THE PROPERT	17) State: NY	18) Zip: <b>12105-0405</b>
19) Telephone Number: (518)758-990	0	20) FAX:	
21) E-Mail Address:	accyg 1999 i Artik V. 11 Benformanse acción e a fill a del fina e a minerangum agricultura que enforman	**************************************	

## 22) Race, Ethnicity, Gender of Assignor/Licensee (Optional)

Race:	American Indian or Alaska Native:	Asian:	Native Hawaiian or Other Pacific Islander:	White:
Ethnicity:	Hispanic or Latino:	Not Hispanic or Latino:		
Gender:	Female:	Male:		

Transferor Information (for transfers of control only)

No. of Copies rec'd\_ List ABCDE

23a) Taxpayer Identification Number: I	-00355010	23b) SGIN: 000	23c) FCC Registration Number (FRN):
24) First Name (if individual): MI:		Last Name:	Suffix:
25) Entity Name (if not an individual): I	Berkshire Telephone	e Corp.	
26) P.O. Box: And / Or		27) Street Address:	19 Broad Street
28) City: Kinderhook		29) State: NY	30) Zip: <b>12106</b>
31) Telephone Number: <b>(518)758-9951</b>		32) FAX: (518)758-	9978
33) E-Mail Address:	· · · · · · · · · · · · · · · · · · ·	THE RESERVE OF THE PROPERTY OF	

## Name of Transferor Contact Representative (if other than Transferor) (for transfers of control only)

34) First Name: <b>Sylvia</b>	MI:	Last Name: <b>Lesse</b>	Suffix:
35) Company Name: Kraskin, Lo	esse & Cosson, LLP		
36) P.O. Box:	And / Or	37) Street Address: 2120 L S	treet, NW Suite 520
38) City: <b>Washington</b>		39) State: DC	40) Zip: <b>20037</b>
41) Telephone Number: (202)290	6-8890	42) FAX: (202)296-8893	
43) E-Mail Address: klc@klctele	.com	Otto de como company y s. 10, se Miles Marches de servicio de la pagaziona de la pagazione de	

## Assignee/Transferee Information

45a) Taxpayer Identification Numbe	r: L00007521	45b) SGIN: <b>000</b>	45c) FCC Registration Number (FRN): 0003576352
46) First Name (if individual):	MI:	Last Name:	Suffix:
47) Entity Name (if other than indivi	dual): Citizens Co	mmunications Co	mpany
48) Name of Real Party in Interest:	Citizens Utilities	Company	49) TIN: <b>L00007521</b>
50) Attention To: Wes Youmans			
51) P.O. Box: <b>251209</b>	And / Or	52) Street Addre	ss: <b>5600 Headquarters Drive</b>
53) City: <b>Plano</b>		54) State: TX	55) Zip: <b>75025-1209</b>
56) Telephone Number: <b>(469)365-3</b>	317	57) FAX: <b>(469)3</b> 6	55-2707
58) E-Mail Address: wyoumans@c	zn com	***************************************	

## Name of Assignee/Transferee Contact Representative (if other than Assignee/Transferee)

59) First Name: Wesley	MI:	Last Name: <b>Youmans</b>	Suffix: <b>Mr</b>
60) Company Name: Citizens Cor	nmunications Compa	ny	
61) P.O. Box: <b>251209</b>	And / Or	62) Street Address: 5600 Hea	adquarters Drive
63) City: <b>Plano</b>		64) State: TX	65) Zip: <b>75025-1209</b>
66) Telephone Number: <b>(469)365-</b>	3317	67) FAX: <b>(469)365-2707</b>	
68) E-Mail Address: wyoumans@	czn.com		

## **Alien Ownership Questions**

69) Is the Assignee or Transferee a foreign government or the representative of any foreign government?	No
70) Is the Assignee or Transferee an alien or the representative of an alien?	No
71) Is the Assignee or Transferee a corporation organized under the laws of any foreign government?	No
72) Is the Assignee or Transferee a corporation of which more than one-fifth of the capital stock is owned of record or voted by aliens or their representatives or by a foreign government or representative thereof or by any corporation organized under the laws of a foreign country?	No
73) Is the Assignee or Transferee directly or indirectly controlled by any other corporation of which more than one-fourth of the capital stock is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof, or by any corporation organized under the laws of a foreign country? If 'Yes', attach exhibit explaining nature and extent of alien or foreign ownership or control.	No

## **Basic Qualification Questions**

President				
e: <b>Brian</b>	MI:	Last Name: <b>Kelly</b>	Suffix:	
or Printed Name of Party Aut	hori <b>zed to</b> Sign			
				cuments
d until the consent of the Fede ecause the transaction is subj	eral Communications ject to streamlined no	Commission has been given, o otification procedures for <i>pro for</i>	r (2) that prior Commission cons ma assignments and transfers t	sent is not
Female:	Male:			
	Latino:			
American Indian or Alaska Native:	Asian:	Black or African-American:	Native Hawaiian or Other Pacific Islander:	White:
e, Ethnicity, Gender of				
Assignee or Transferee, or an atter referred to in the preced	ly party directly or inc ling two items? If 'Ye	directly controlling the Assignee s', attach exhibit explaining circu	or Transferee currently a party i umstances.	n any No
e guilty of unlawfully monopoli ontrol of manufacture or sale on? If 'Yes', attach exhibit expl	zing or attempting ur of radio apparatus, ex aining circumstances	nlawfully to monopolize radio co xclusive traffic arrangement, or a s.	mmunication, directly or indirect any other means or unfair metho	ods of
e, or any party to this applicaticircumstances.	on ever been convict	ted of a felony by any state or fe	deral court? If 'Yes', attach exhi	
oked or had any application fo	or an initial, modificat	ion or renewal of FCC station a		
	oked or had any application for ited by the Commission? If 'Ye ite Assignee or Transferee or a ite, or any party to this application circumstances.  In your finally adjudged the A ite guilty of unlawfully monopolic portrol of manufacture or sale of ite? If 'Yes', attach exhibit explications are ferred to in the precedent of the	coked or had any application for an initial, modificated by the Commission? If 'Yes', attach exhibit explained by the Commission? If 'Yes', attach exhibit explained and party to this application ever been convict circumstances.  In your finally adjudged the Assignee or Transferre equilty of unlawfully monopolizing or attempting uncontrol of manufacture or sale of radio apparatus, explaining circumstances.  Assignee or Transferree, or any party directly or incontrol to in the preceding two items? If 'Yee', attach exhibit explaining circumstances.  Assignee or Transferree, or any party directly or incontrol to in the preceding two items? If 'Yee', Ethnicity, Gender of Assignee/Tran  American Indian or Alaska Native:  Hispanic or Latino:  Female:  Not Hispanic or Latino:  Male:  Par/Transferor Certification Statements  adjuntil the consent of the Federal Communications ecause the transaction is subject to streamlined not unications carriers. See Memorandum Opinion and ed by reference are material, are part of this applic or Printed Name of Party Authorized to Sign  Brian  MI:	oked or had any application for an initial, modification or renewal of FCC station an initial by the Commission? If 'Yes', attach exhibit explaining circumstances.  The Assignee or Transferee or any party to this application, or any party directly or in a party to this application ever been convicted of a felony by any state or fer circumstances.  The Assignee or Transferee or any party to this application, or any party directly or indirectly circumstances.  The guilty of unlawfully monopolizing or attempting unlawfully to monopolize radio control of manufacture or sale of radio apparatus, exclusive traffic arrangement, or any if 'Yes', attach exhibit explaining circumstances.  Assignee or Transferee, or any party directly or indirectly controlling the Assignee matter referred to in the preceding two items? If 'Yes', attach exhibit explaining circumstances.  Assignee or Transferee or Assignee/Transferee (Optional)  American Indian or Alaska Native:  Hispanic or Latino:  Not Hispanic or Latino:  Female:  Male:  Par/Transferor Certification Statements  Signor or Transferor certifies either (1) that the authorization will not be assigned or duntil the consent of the Federal Communications Commission has been given, or ecause the transaction is subject to streamlined notification procedures for pro for unications carriers. See Memorandum Opinion and Order, 13 FCC Rcd. 6293(199 signor or Transferor certifies that all statements made in this application and in the ed by reference are material, are part of this application, and are true, complete, or Printed Name of Party Authorized to Sign  MI:  Last Name: Kelly	the Assignee or Transferee or any party to this application, or any party directly or indirectly controlling the Assignee, or any party to this application ever been convicted of a felony by any state or federal court? If 'Yes', attach exhicircumstances.  The product finally adjudged the Assignee or Transferee, or any party directly or indirectly controlling the Assignee or expected guilty of unlawfully monopolizing or attempting unlawfully to monopolize radio communication, directly or indirectly control of manufacture or sale of radio apparatus, exclusive traffic arrangement, or any other means or unfair method in the preceding circumstances.  Assignee or Transferee, or any party directly or indirectly controlling the Assignee or Transferee currently a party in latter referred to in the preceding two items? If 'Yes', attach exhibit explaining circumstances.  Expected to in the preceding two items? If 'Yes', attach exhibit explaining circumstances.  Black or African-American:  Native Hawaiian or Other Pacific Islander:  Not Hispanic or Latino:  Male:  Not Hispanic or Latino:  Male:  Male:  Not Hispanic or Certification Statements  Signor or Transferor certifies either (1) that the authorization will not be assigned or that control of the license will recause the transaction is subject to streamlined notification procedures for proforma assignments and transfers to united the consent of the Federal Communications Commission has been given, or (2) that prior Commission consequences the transaction is subject to streamlined notification procedures for proforma assignments and transfers to unications carriers. See Memorandum Opinion and Order, 13 FCC Rcd. 6293(1998).  Signor or Transferor certifies that all statements made in this application and in the exhibits, attachments, or in doced by reference are material, are part of this application, and are true, complete, correct, and made in good faith. or Printed Name of Party Authorized to Sign  MI: Last Name: Kelly

81) Date: 05/21/01

# **Assignee/Transferee Certification Statements**

Signature: Brian Kelly

- 1) The Assignee or Transferee certifies either (1) that the authorization will not be assigned or that control of the license will not be transferred until the consent of the Federal Communications Commission has been given, or (2) that prior Commission consent is not required because the transaction is subject to streamlined notification procedures for *pro forma* assignments and transfers by telecommunications carriers See Memorandum Opinion and Order, 13 FCC Rcd. 6293 (1998).
- 2) The Assignee or Transferee waives any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and requests an authorization in accordance with this application.
- 3) The Assignee or Transferee certifies that grant of this application would not cause the Assignee or Transferee to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule.\*
- \*If the applicant has sought a waiver of any such rule in connection with this application, it may make this certification subject to the outcome of the waiver request.
- 4) The Assignee or Transferee agrees to assume all obligations and abide by all conditions imposed on the Assignor or Transferor under the subject authorization(s), unless the Federal Communications Commission pursuant to a request made herein otherwise allows, except for liability for any act done by, or any right accured by, or any suit or proceeding had or commenced against the Assignor or Transferor prior to this assignment.
- 5) The Assignee or Transferee certifies that all statements made in this application and in the exhibits, attachments, or in documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.
- 6) The Assignee or Transferee certifies that neither it nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1998, 21 U.S.C § 862, because of a conviction for possession or distribution of a controlled substance. See Section 1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification.
- 7) The applicant certifies that it either (1) has an updated Form 602 on file with the Commission, (2) is filing an updated Form 602 simultaneously with this application, or (3) is not required to file Form 602 under the Commission's Rules.

82) Typed or Printed Name of Party Authorized to Sign

First Name: Wesley MI: Last Name: Youmans Suffix: Mr

83) Title: Senior Network Engineer

Signature: Wesley Youmans Mr 84) Date: 05/21/01

WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001) AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT (U.S. Code, Title 47, Section 312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503).

#### **Authorizations To Be Assigned or Transferred**

85) Call Sign 86) Loc	ation Number 87) Path Num (Microwave o	 89) Upper Frequency (MHz)	90) Constructed Yes / No
KPE254			Yes

FCC Form 603 Schedule A

# Schedule for Assignments of Authorization and Transfers of Control in Auctioned Services

Approved by OMB 3060 - 0800 See instructions for public burden estimate

## **Assignments of Authorization**

## 1) Assignee Eligibility for Installment Payments (for assignments of authorization only)

Is the Assignee claiming the same category or a smaller category of eligibility for installment payments as the Assignor (as determined by the applicable rules governing the licenses issued to the Assignor)?

If 'Yes', is the Assignee applying for installment payments?

## 2) Gross Revenues and Total Assets Information (if required) (for assignments of authorization only)

Refer to applicable auction rules for method to determine required gross revenues and total assets information

Year 1 Gross Revenues (current)	Year 2 Gross Revenues	Year 3 Gross Revenues	Total Assets:	- Company of the Comp
				i

### 3) Certification Statements

#### For Assignees Claiming Eligibility as an Entrepreneur Under the General Rule

Assignee certifies that they are eligible to obtain the licenses for which they apply.

## For Assignees Claiming Eligibility as a Publicly Traded Corporation

Assignee certifies that they are eligible to obtain the licenses for which they apply and that they comply with the definition of a Publicly Traded Corporation, as set out in the applicable FCC rules.

#### For Assignees Claiming Eligibility Using a Control Group Structure

Assignee certifies that they are eligible to obtain the licenses for which they apply.

Assignee certifies that the applicant's sole control group member is a pre-existing entity, if applicable.

# For Assignees Claiming Eligibility as a Very Small Business, Very Small Business Consortium, Small Business, or as a Small Business Consortium

Assignee certifies that they are eligible to obtain the licenses for which they apply.

Assignee certifies that the applicant's sole control group member is a pre-existing entity, if applicable.

#### For Assignees Claiming Eligibility as a Rural Telephone Company

Assignee certifies that they meet the definition of a Rural Telephone Company as set out in the applicable FCC rules, and must disclose all parties to agreement(s) to partition licenses won in this auction. See applicable FCC rules.

### **Transfers of Control**

## 4) Licensee Eligibility (for transfers of control only)

As a result of transfer of control, must the licensee now claim a larger or higher category of eligibility than was originally declared?

If 'Yes', the new category of eligibility of the licensee is:

#### **Certification Statement for Transferees**

Transferee certifies that the answers provided in Item 4 are true and correct.

## Attachment List

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Attachment Type	Date	Description	Contents
Ownership	05/21/01	Ownership Information and Public Interest Statement	17654830.0.pdf

ATTACHMENT CITIZENS COMMUNICATIONS COMPANY File Number 463076 Page 1 of 1

## OWNERSHIP INFORMATION and PUBLIC INTEREST STATEMENT

Citizens Communications Company (ACitizens@) hereby seeks authorization for the transfer of control of the subject license from Berkshire Cable Corporation ("BCC"). Citizens has entered into an agreement with BCC for the purchase of 100% of Berkshire Telephone Corp.'s ("BTC") issued and outstanding stock. BCC is a wholly-owned subsidiary of BTC. The parties have agreed to structure the instant transaction as a reverse triangular merger, whereby Citizens will form a wholly-owned subsidiary which will merge with BTC; BTC will emerge as the surviving entity, wholly-owned by Citizens.

Transfer of control of the subject authorization is requested in the context of a larger transaction which contemplates the changes in ownership of an operating, multi-faceted telecommunications company. The transaction requires the review and approval of not only various bureaus of this Commission, but also the state commission. Accordingly, prompt grant of the instant request would serve the public interest, convenience and necessity by ensuring that the public enjoys uninterrupted service and the benefits of an orderly transition of ownership.